

### Annex 3: Applicant/ Applicant Support Partner [to be filled in for legal entities.]

The eligibility criteria for Applicants/ Applicant Support Partner are stipulated in the [General Information Document](#) for the 5<sup>th</sup> Call. In case of a consortium, the tables need to be filled for both organisations.

Name of Applicant or Applicant Support Partner	Tropical Agricultural Center for Research and Education -CATIE-
Type of institution	Other Nongovernmental Organisation
Legal form	International Research and Education Organization
Non-profit status	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no
Department	General Directors Office
Postal Address	Cartago, Turrialba, 30501
Country	Costa Rica
Contact Person	<b>Muhammad Ibrahim, PhD. Director General.</b>
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Website	<a href="http://www.catie.ac.cr">www.catie.ac.cr</a>
Total staff	283
Staff for the project	7
Year established	1973
Experience in the country of implementation	45 years
Experience in the respective sector	45 years
Experience in investment/climate finance	45 years
Experience in working with the public sector	45 years
Annual turnover (last 3 years)	2014: \$20.161.000 2015: \$18.418.000 2016: \$16.385.000

Please also submit annual reports of last three years (attached).

## Annex 3a: References

Organisation: *Tropical Agricultural Center for Research and Education (CATIE)*

No.	Project start MM/YYYY	Project termination MM/YYYY	Title	Country	Project objectives and relevant role in the project	Overall volume [EUR]	Share of the organisation [EUR]	Main Project Financier
1	12/2016	11/2020	Expand the conservation of biodiversity through climate-smart agro-silvopastoril practices in landscapes dominated by livestock systems in three regions of Mexico.	Mexico	The project focus on strengthening the institutional and policy framework to promote agro-silvopastoril approaches with the objective of increasing productivity and family income, improving the conservation of biodiversity and reducing the vulnerability of livestock systems to climate change.	€2.700.000		BMUB-IKI
2	06/2008	12/2012	Mesoamerican Agro-environmental Program (Phase I)	Guatemala	Local, national and regional organizations have the tools, knowledge and capacity to implement technological innovations, policies and programs to develop and promote the sustainable use of land to improve rural livelihoods.  - Rural families and producer organizations in priority areas of Mesoamerica adopt practices of	€14,959,982		Royal Embassy of Norway

					<p>sustainable production and sustainable management of natural resources and are integrated into value chains.</p> <ul style="list-style-type: none"> <li>- Local governments implement effective environmental and good governance mechanisms.</li> <li>- National organizations and decision makers use the experiences of the technologies of production and management of natural resources generated by the MAP.</li> <li>- Mesoamerican organizations and decision makers use MAP knowledge, tools and recommendations.</li> <li>- CATIE improves its capacities to collaborate and support local, national and regional partners in designing and implementing effective strategies and policies.</li> <li>- Inputs for decision makers (Policy briefs).</li> </ul>			
3	06/2013	06/2017	Sustainable rural development in two climate-smart territories of Central America / Mesoamerican Agro-	Guatemala, El Salvador and Nicaragua	<p>Objective: Rural territories and agricultural sectors of Mesoamerica with better quality of life, sustainable environment, equal opportunities and less poverty.</p> <ul style="list-style-type: none"> <li>- Families have more knowledge, skills and abilities to contribute to food and / or nutritional security.</li> <li>- Agro-ecological and agroforestry innovations are implemented in farms or yards in a holistic</li> </ul>	€7.485.029		Royal Embassy of Norway

			environmental Program (MAP Norway) - Phase II.		<p>way to increase diversification and production.</p> <ul style="list-style-type: none"> <li>- Processes for the strengthening of business organizations with a focus on inclusive and sustainable value chains.</li> <li>- Platforms of local agreement with plans and actions of territorial co-management with a focus on climate-smart Territories.</li> <li>- Organizations and institutions linked to the sustainable management of natural resources use CST approaches, products and learnings.</li> <li>- Inputs for decision makers (policy briefs).</li> </ul>			
4	04/2013	02/2018	Regional Program for Climate Change	Guatemala, Belize, Honduras, El Salvador, Nicaragua, Costa Rica, Panama and Dominican Republic	<p>The main objective is to support Central America and the Dominican Republic: 1) to establish and implement carbon-based incentives to reduce emissions from deforestation, forest degradation and other land uses; 2) to integrate earth observation information and geospatial technologies into development decision making and 3) to incorporate considerations of climate change impacts into environmental decision making in CAFTA-DR countries. The contract has 5 main objectives:</p> <ul style="list-style-type: none"> <li>- Facilitating investments for developing local, national and/or trans-boundary carbon credit marketing proposals.</li> <li>- Developing cross-scale integrated mitigation interventions to address climate change stressors and vulnerabilities.</li> <li>- Establishing regionally integrated and harmonized REDD+ strategies and protocols, and monitoring, reporting, and verification (MRV) protocols.</li> </ul>	€17.234.178	€1.723.417	USAID

					<ul style="list-style-type: none"> <li>- Facilitating the generation of data systems to support decision-making.</li> <li>- Distributing climate change data for a wide range of relevant users.</li> </ul>			
5	08/2015	07/2018	Climate technology transfer mechanisms and networks in Latin America and the Caribbean; forest monitoring	Latin America and the Caribbean	<p>The forest monitoring project is part of the larger project on “Climate technology transfer mechanisms and networks in Latin America and the Caribbean” (the Project), prepared by the Inter-American Development Bank (IDB), endorsed by the Council of the Global Environment Facility (GEF) on September 11th, 2014 and approved by the Board of the IDB on December 17th 2014. The objective of the Project is to promote the development and transfer of environmentally sound technologies (EST) in Latin America and the Caribbean (LAC), in order to contribute to the ultimate goal of reducing greenhouse gas (GHG) emissions and reducing the vulnerability to climate change in specific sectors in LAC. This particular, autonomous part of the project focusses on forest monitoring technologies.</p> <p>The Project’s strategy is to build national capacities to identify, assess, develop and transfer EST in LAC. In order to achieve this strategy, the Project will i) promote regional collaborative efforts; (ii) support planning and policy-making processes at national and sectoral levels; (iii) serve as a platform for the demonstration of policies and enabling mechanisms; and (iv) mobilize private and public resources.</p> <p>The Project will pilot institutional frameworks and mechanisms for the development and transfer of EST for forest monitoring, taking into</p>	€2.000.000		The Global Environmental Facility

					consideration and contributing directly to key design features of the Technology Mechanism as agreed under the United Nations Framework Convention on Climate Change (UNFCCC).			
6	10/2013	06/2016	Knowledge management for the innovation of sustainable rural development in Guatemala: strengthening family farming and the peasant economy (CATIE-MAGA-NORWAY).	Guatemala	<p>The general objective was to support the strengthening of the National Rural Extension System (SNER) and its role in the implementation of the PPAFFEC.</p> <p>General Objective: Contribute to the strengthening of the National System of Rural Extension (SNER) and its role in the implementation of the Family Agriculture Program for the Strengthening of the Peasant Economy (PAFFEC).</p> <p>Specific objectives: a) Strengthen capacities and skills aimed at improving the quality of life, reducing the risk to food and nutrition insecurity and poverty of rural families, through knowledge management processes; b) Strengthen the methodological and articulation capacities of the National System of Rural Extension, within the framework of the PAFFEC, based on the experience and learning of implementation in the local extension systems of 25 municipalities; c) Strengthen the capacities of management of sustainable livelihoods, associativity and links to value chains of groups and organizations participating in the National Extension System in 25 municipalities of the</p>	€2.105.712		Ministry of Foreign Affairs of Norway

					<p>country.</p> <p>Overall results:</p> <p>"The project strengthened capacities, developed skills and abilities of 7,123 families organized in 675 CADER.</p> <p>"Local capacities were strengthened with the training of 675 volunteer promoters (440 women and 235 men), favoring the sustainability of these processes, beyond the management of the project.</p> <p>"It was possible to consolidate knowledge, develop skills and skills of 10,342 participants (52% women, 48% men), who adopted and / or adapted in their productive units practices and innovations that were promoted.</p> <p>"Of 7,123 families participating in the training process, 4,400 (62%) implemented the promoted practices, of this percentage 23% (1,029 families) implemented at least one practice and 77% (3,371 families) with three or more practices in its productive units.</p> <p>"The methodological framework for the integration of local extension systems was created, establishing a follow-up platform to the SNER composed of initiatives of cooperation of actors and coordination of agendas.</p> <p>"In the component of peasant economy and competitiveness, at least 22 associative groups,</p>			
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					participants in the Territorial Business Training Schools (EFET) have their respective business diagnoses and socio-organizational strengthening plans, together with the concern to continue along the route of its strengthening, to achieve its insertion in productive and commercial chains.			
7	01/2012	12/2012	Competitiveness and environment in the cocoa territories of Central America (Cacao Central America Project -CCP-)	Central America	<p>Development objective: Local, national and regional organizations have the capacity and competence to implement technological innovations, policies and programs to develop sustainable land management that contributes to improving rural livelihoods and ecosystems.</p> <p>Purpose At least 6,000 producing families and their COAs, governments (indigenous, municipal, national and regional) and study centers (schools, colleges, national and international universities) have greater capacities and collaborate to increase competitiveness and the provision of environmental services in the Central American cocoa sector.</p>	€3.735.663		Ministry of Foreign Affairs of Norway
8	04/2009	03/2015	FINNFOR, Forests and Forest Management in	Guatemala, El Salvador and Nicaragua	Provide the forestry sector of the region (producer families, producer organizations and production companies, NGOs and governments): relevant technical and scientific information;	€8.704.482		Ministry of Foreign Affairs of Finland



			Central America.		improved techniques and negotiation skills; strengthened partnerships and networks that form the basis of equitable, economically and environmentally sustainable production of goods and services from forests through value chains.			
9	03/2017	02/2020	Development of sustainable secondary forest management models and link with the private financial sector.	Guatemala, Honduras, El Salvador and Costa Rica	Develop sustainable productive management in secondary forests in Central America, generate business governance structures and attract the investment of funds, by identifying areas of high potential, strengthening local capacities, implementing new concepts of sustainable management, generation of owners, of new funding sources and the systematization of successful experiences.	€930.000		BMUB-IKI
10	01/2008	12/2012	Sustainable Management of Agricultural Territories (Mesoterra).	Nicaragua, Honduras, Guatemala and Belize	The Mesoterra project promotes the strengthening of public and private organizations in Mesoamerica for the implementation of sustainable management practices of agricultural territories with a multi-sector approach (livestock, coffee, cocoa, annual crops, forestry and agroforestry) to contribute to improving welfare of producers and communities, achieve greater resilience of the productive systems to climate change and maintain or recover ecosystem services. The project works at the farm level, pilot areas	€4.300.000		NORAD

					and key territories seeking to influence better farm planning and land use plans at the municipal, national or regional level that in recent years have been managed by the relevant authorities in the Mesoamerican countries. The strategy to reduce land degradation is to promote the sustainable management of agricultural territories through an increase in the knowledge and skills of men and women with a multisector approach.			
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